



Emotion and AI

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Outline

- 1. Backgrounds: Models of Emotions
- 2. Computational Approaches: Affective Computing
- 3. Computational Emotions in Storytelling



"Laugh Detector and System and Method for Tracking an Emotional Response to a Media Presentation" US Patent No. 7,889,073B2, Sony Entertainment America (Patented on Feb 15, 2011)

1. Backgrounds: Emotions & Personalities

Q. What is "emotion"?

noun | emo·tion | \i-'mō-shən\



Simple Definition of EMOTION

Popularity: Top 10% of words

: a strong feeling (such as love, anger, joy, hate, or fear)

Full Definition of EMOTION

- a: the affective aspect of consciousness: FEELING
- **b**: a state of feeling

c: a conscious mental reaction (as anger or fear) subjectively experienced as strong feeling usually directed toward a specific object and typically accompanied by physiological and behavioral changes in the body

Synonyms for emotion

noun mental state

affect affection affectivity agitation anger ardor commotion concern desire despair despondency disturbance

drive ecstasy elation empathy excitability excitement feeling fervor grief gut reaction happiness inspiration



joy sensibility sensitiveness love sentiment melancholy shame passion perturbation sorrow pride sympathy thrill rage tremor remorse vehemence responsiveness sadness vibes satisfaction warmth sensation zeal



Antonyms for emotion



Roget's 21st Century Thesaurus, Third Edition Copyright © 2013 by the Philip Lief Group.

Considerations on Emotion

- Requires a **model** on consciousness or mind
- Involves both universality and subjectivity
- Directs toward a specific entity (either object or human).
- Some emotions are "*social*" (e.g., love, hate, admiration, contempt, blame, jealousy, ...)

Now, let's look at the models of emotion.



Basic Emotions

- Some emotions are universally recognised by facial expressions regardless of gender, age, and race.
- Some emotions involve associated action tendencies (e.g. approaching or leaning backward) by nature.







P. Ekman

N. Frijda

M.B. Arnold



Reference	Fundamental emotion	Basis for inclusion Relation to action tendencies	
Arnold (1960)	Anger, aversion, courage, dejection, desire, despair, fear, hate, hope, love, sadness		
Ekman, Friesen, & Ellsworth (1982)	Anger, disgust, fear, joy, sadness, surprise	Universal facial expressions	
Frijda (personal communication, September 8, 1986)	Desire, happiness, interest, surprise, wonder, sorrow	Forms of action readiness	
Gray (1982)	Rage and terror, anxiety, joy	Hardwired	
Izard (1971)	Anger, contempt, disgust, distress, fear, guilt, interest, joy, shame, surprise	Hardwired	
James (1884)	Fear, grief, love, rage	Bodily involvement	
McDougall (1926)	Anger, disgust, elation, fear, subjection, tender-emotion, wonder	Relation to instincts	
Mowrer (1960)	Pain, pleasure	Unlearned emotional states	
Oatley & Johnson- Laird (1987)	Anger, disgust, anxiety, happiness, sadness	Do not require propositional content	
Panksepp (1982)	Expectancy, fear, rage, panic	Hardwired	
Plutchik (1980)	Acceptance, anger, anticipation, disgust, joy, fear, sadness, surprise	Relation to adaptive biological processes	
Tomkins (1984)	Anger, interest, contempt, disgust, distress, fear, joy, shame, surprise	Density of neural firing	
Watson (1930)	Fear, love, rage	Hardwired	
Weiner & Graham (1984)	Happiness, sadness	Attribution independent	

Table 1 A Selection of Lists of "Basic" Emotions

Note. Not all the theorists represented in this table are equally strong advocates of the idea of basic emotions. For some it is a crucial notion (e.g., Izard, 1977; Panksepp, 1982; Plutchik, 1980; Tomkins, 1984), whereas for others it is of peripheral interest only, and their discussions of basic emotions are hedged (e.g., Mowrer, 1960; Weiner & Graham, 1984).



Russell, J.A. A Circumplex Model of Affect. J. Personality and Social Psychology (1980), 39 (6)

Circumplex Model of Emotions

- Represented in two dimensional (arousal-valence) bipolar space.
- Easy to recognise differences and similarities among various emotions
- Distributed on the *perimeter* of a circle
- Some emotions may need another dimension for differentiation (e.g., *anger* and *fear*)

PAD Emotion Model

- Three-dimensional
 - Pleasure (A measure of valence)
 - Arousal (The level of activation)
 - **Dominance** (A measure of power or control)







Figure 1. The Self-Assessment Manikin (SAM) used to rate the affective dimensions of valence (top panel), arousal (middle panel), and dominance (bottom panel).

Emotion Wheel by Plutchik

- Color metaphor
- 8 basic emotions with 3 intensity levels, respectively
- 8 types of *compound* emotions induced from the combination of two basic emotions





The Plutchik Emotion Circumplex 2D (left) and 3D (above) developed in 1980 by Robert Plutchik.

The OCC Model

- A. Ortony, G. Clore, and A. Collins (1988)
- Emotion refers to "a valence reaction to a situation or context" based on an agent's cognitive process of appraising a given situation, where situation can be:
 - Consequences of events
 - Actions of agents
 - Aspects of objects





Example: Emotion Specification (Fear)

- TYPE SPECIFICATION: (displeased about) the prospect of an undesirable event
- TOKENS: apprehensive, anxious, cowering, dread, fear, fright, nervous, petrified, scared, terrified, timid, worried, etc.
- VARIABLES AFFECTING INTENSITY:
 - 1. The degree to which the event is undesirable
 - 2. The likelihood of the event

2. Computational Approaches: Affective Computing

Affective Computing?

- "Computing that relates to, arise from, or deliberately influences emotion or other affective phenomena"
- "Multidisciplinary research combining engineering, computer science, cognitive science, neuroscience, sociology, education, psychophysiology, value-centered design, ethics, and more."



(From http://affect.media.mit.edu/)

Challenges in Affective Computing



Picard, R. Affective Computing: Challenges, J. Human-Computer Interaction (2003), 59 (1-2)

1) Emotion Sensing

- Modality
 - Visual signals (Image & Video): facial expression, behaviour/ gesture/posture pattern; brain imaging/activities, text
 - Audio signals: voice/sound pattern(prosody intonation, rhythm, stress), verbal language
 - Physiological signal: skin conductivity, heart rate, breathing frequency, etc.
 - Other sensory modalities: smell and taste?
- Issues: Intrusiveness, accuracy, reliability, etc.

2) Emotion Recognition

- Interpretation of collected (sensing) data
- Convert emotion recognition problems to classification problems in machine learning



FACS (Facial Action Coding System)

AU1	AU2	AU4	AU5	AU6
10	@ @	31.00	00	
Inner brow raiser	Outer brow raiser	Brow Loweser	Upper lid raiser	Cheek raiser
AU7	AU9	AU12	AU15	AU17
00	(and the second	3	1ª	3
Lid tighten	Nose wrinkle	Lip corner puller	Lip corner depressor	Chin raiser
AU23	AU24	AU25	AU26	AU27
2	-	Ē	ē,	
Lip tighten	Lip presser	Lips part	Jaw drop	Mouth stretch

3) Affect Modeling

- Modeling an agent's mental process both from emotional and cognitive viewpoint
- Many computational models are often based on the appraisal theories

The Appraisal Theories

- Most (but not all) emotions are elicited by a cognitive evaluation of antecedent situations and events (Scherer, K.R. 2010)
- The most predominant theory among psychological perspectives on emotion, and (arguably) the most effective source for building computational emotion systems (Marsella, Gratch, & Petta, 2010, Computational Models of Emotion)

Four Appraisal Objectives in Stimulus Evaluation Checks (SECs)

- **Relevance**: How relevant is this event for me? Does it directly affect me?
- **Implications**: How do the consequences of this event affect my wellbeing and my immediate/long-term goals?
- **Coping potential**: How well can I cope with these consequences?
- Normative significance: What is the significance of this event with respect to my self-concept and to social norms and values?
- For each objective, evaluation variables are defined as: Novelty, Intrinsic pleasantness, Goal relevance; Causal attribution, Outcome probability; Control, Power, etc.

K. R. Scherer, (2001) Appraisal considered as a process of multilevel sequential checking

Computational Models of Human Emotion

- Goal
 - Build a model dealing with antecedents (i.e., stimulus) and consequences (i.e., responses) of emotion in a logical, cognitive, and computational way
- Benefits
 - Create believable agents that can behave emotionally so we can suspend the disbelief that it is not real
 - Simulate social interactions or hard decision-making situations for training

Appraisal Dynamics and Coping



(Marsella & Gratch (2009) EMA: A Process Model of Appraisal Dynamics

A Brief History of Computational Emotion Models



(Figure from Marsella, Gratch, & Petta (2010) Computational Models of Emotion)
Theoretical Framework: Appraisal Theory

(Arnold, Lazarus, Frijda, Scherer, Ortony et al.)



http://people.ict.usc.edu/~gratch/presentations/ACII09-appraisal.pdf

4) Emotion Expression

 "The physical body is essential to express emotion reliably and believably. Existing attempts at expressing emotions in (embodied) robots are unrealistic and unconvincing."

5) Ethics Issue

 "Emotions are ultimately personal and private. Any attempts to detect, recognize, not to mention manipulate, a user's emotions thus constitutes the ultimate breach of ethics and will never be acceptable to computer users."

6) Utility Issue

• "Airplanes do not flap their wings. Just because humans have emotional abilities and use them in human-human interaction, computers don't need to aspire to emulate them. Emotions and passions tend to be more problematic than helpful in human-human interaction. So, *why contaminate purely* logical computers with emotional reactiveness?"



Kismet (1997 ~ 2002)

Jibo (Coming soon) : https://www.jibo.com/

3. Computational Emotions in Storytelling

Q. Why do we love stories? Btw, what is a story?

Non-story Vs. Story

1. "Today I cooked dinner"

2. "Today I cooked dinner for my wife for the first time."

- Above two, which is more like a story? Why?

We play games for fun



http://www.xeodesign.com/assets/images/4k2f.jpg



N. Lazzaro

We love stories for interest

- Cognitive Interest
 - Interest obtaining from narrative structure (suspense, surprise, curiosity)
- Emotional Interest
 - Interest obtaining from the characters of the story world (empathy, a sense of identification, memory, ...)



Oatley, K. (1994). A taxonomy of literary response and a theory of identification in fictional narrative

Cognitive Interest Vs. Emotional Interest





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Issues of Computational Emotion in Storytelling

- Modeling the reader's cognitive and affective state (Understanding Vs. Interest)
- Emotional Story Generation (Story with suspense, Story with surprise/twisted ending, ...)
- Evaluation of **Story Quality**
- (AI) virtual actor's emotion modelling and expression

Summary

- Emotion Models: 2-Dimensional Emotion Model (Arousal-Valence), The Appraisal Theories, The OCC Emotion Model
- 6 Issues in Affective Computing: Sensing/ Recognition/ Modeling/ Expression; Ethics, Utility
- Computational Emotions in Storytelling: Player's cognitive and emotional state in terms of interest

Q & A

• Thank you for your attention!

